

Research Funding Guidelines

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1 BACKGROUND INFORMATION

MRIWA provides funding to support high-impact, applied minerals research that strengthens the global competitiveness of Western Australia's minerals industry.

1.1 Funding by Conditional Grant

A MRIWA Conditional Grant is a form of financial assistance under which funds are made available to an eligible recipient (*the Recipient*) subject to specified terms, conditions, and performance requirements.

Funds are released only where the recipient complies with all applicable conditions, including any milestones, reporting obligations, co-funding requirements, or outcomes stated in the Conditions of Grant agreement. Failure to meet these conditions may result in funding being withheld, varied, recovered, or the grant agreement being terminated.

Funding is primarily offered through **Open Calls for Applications**, which are open year-round and must align with MRIWA's **Research Priority Plan**. From time to time, MRIWA may also invite applications through **Targeted Calls**, which are designed to address specific themes or priority areas. Targeted Calls may include additional, program-specific requirements. Current Targeted Calls include:

- **Item 2 – METS Innovation Program**
- **Item 3 – Accelerated Mineral Carbonation**

Unless expressly stated otherwise, applications submitted under a Targeted Call must comply with the requirements of the **Guidelines** (hereafter referred to as the *Guidelines*), in addition to any Targeted Call-specific conditions.

MRIWA supports research conducted in Australia and internationally where it delivers clear economic, environmental, or social benefits for Western Australia and contributes to the development of technologies essential to a strong and future-focused minerals industry.

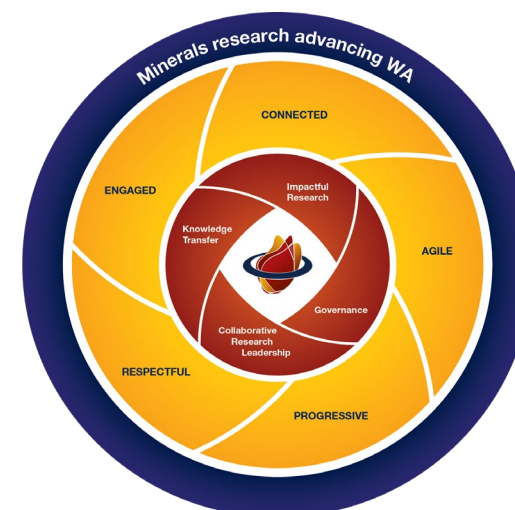
1.2 MRIWA and its objectives

MRIWA's vision is for minerals research to advance Western Australia.

To achieve this, MRIWA will:

- have an **IMPACTFUL RESEARCH** program where applied research creates capability and delivers economic and social benefit for Western Australia;
- provide **COLLABORATIVE RESEARCH LEADERSHIP** so industry, academic and government relationships can activate innovation and research networks attract investment in high value activities;
- enable **KNOWLEDGE TRANSFER** so minerals research outcomes are implemented to deliver value for the State; and
- ensure robust **GOVERNANCE** and contemporary fit-for-purpose corporate practices.

MRIWA is a statutory body established by the Western Australian Government under the [Minerals Research Institute of Western Australia Act 2013 \(WA\)](#).



1.3 MRIWA research priorities

The *MRIWA Research Priority Plan* identifies the medium to long-term knowledge and technology needs of Western Australia's minerals industry. The priorities included in the Research Priority Plan have been identified by industry, researchers and the MRIWA Board as presenting real and significant challenges inhibiting Western Australians from benefiting from the minerals sector to the fullest possible extent and where resolution of these challenges will create opportunities and deliver value to the State.

These priorities direct the MRIWA Board's decisions on funding and MRIWA may limit the call for Applications in a designated round to targeted focus areas.

All prospective Project Participants should familiarise themselves with the current Research Priority Plan prior to commencing an Application and should consult the MRIWA website for the latest information on targeted focus areas.

See 5.2 for information on the *Research Priority Plan* and eligibility.

1.4 Focus Areas

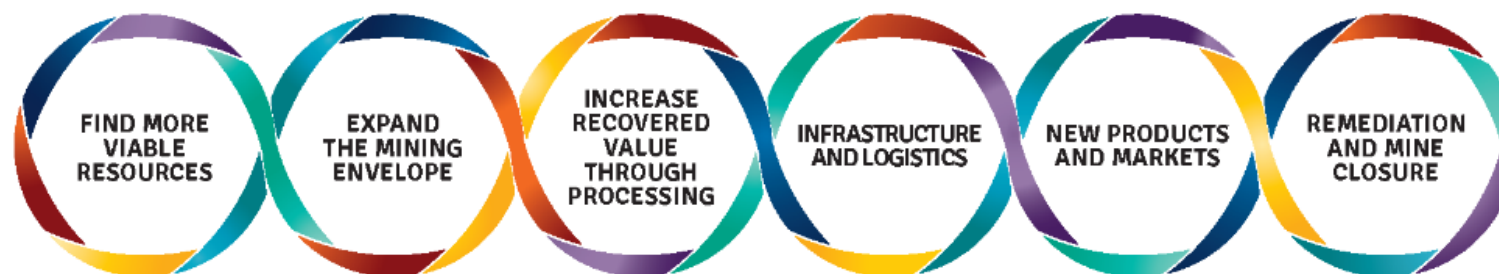
Focus Areas are campaigns targeting specific areas which seek to stimulate and amplify activities of high value to the State.

Focus Areas may fall in areas specific to parts of the mining value chain or have impact across the entire value chain, with the benefit to be realised in Western Australia.

By identifying specific Focus Areas MRIWA seeks to activate innovation and research networks and attract investment in high value activities in the following areas:

- Net Zero Emission Mining
- Critical Minerals
- Green Steel
- Exploration Amplification
- Alternative Use of Tailings and Waste
- Precision and Low Impact Mining.

More information on the Focus Areas can be located on the MRIWA website.



1.5 Industry participation and co-investment

MRIWA strongly encourages industry-initiated research projects, and all projects must have demonstrated industry engagement.

The necessary technical interchange between the research team and industry representatives is intended to ensure the results of the research are more likely to be applied in practice and lead to commercial application.

Industry participation and financial contribution is an important factor in the MRIWA Board's assessment of an application. All prospective Lead Investigators and Sponsors should refer to the *MRIWA Financial Leverage Policy* for information on the current financial leverage target.

Lead Investigators must secure an appropriate level of co-investment prior to submitting an application to MRIWA.

See item 5 for information on financial leverage and eligibility.

1.6 Project Managers

MRIWA, through the involvement of the Research Portfolio Managers and Principal Project Officers (hereafter referred to as Project Managers), may work with Project Participants and potential Project Participants to initiate or develop proposals, with the consent of the respective Parties.

Such facilitation does not represent any guarantee MRIWA will fund the Application.

MRIWA may commission research in areas of high need, identify research opportunities and potential collaboration partners including research institutions, industry, or funding bodies, to develop consortia to finance and carry out Projects.

Any such facilitation, and collaboration, including the release of Confidential Information, will be subject to prior consent of the relevant Party or Parties.

1.7 Updates to Guidelines

The *Guidelines* will be reviewed by MRIWA from time to time. Updated *Guidelines* will be published on the MRIWA Website.

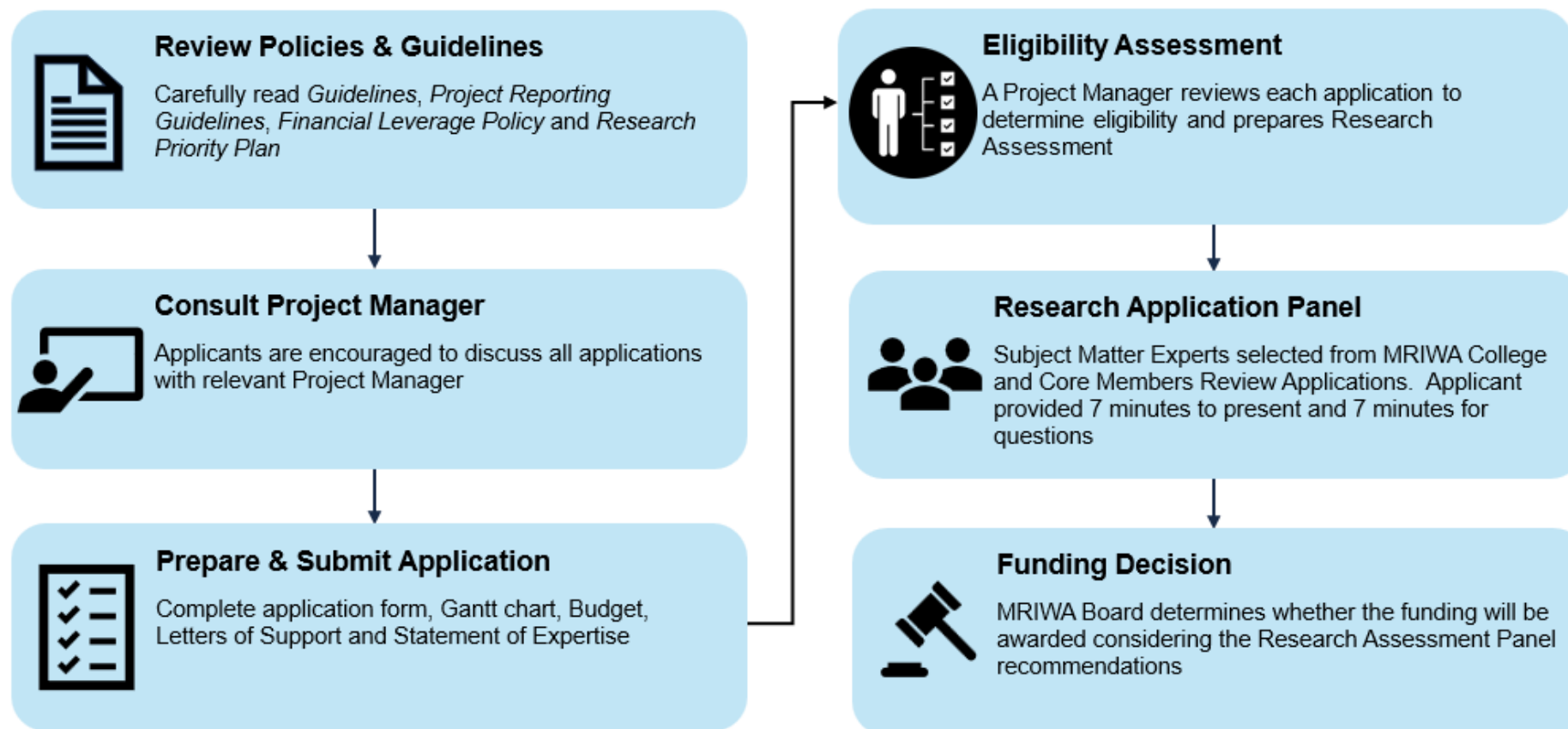
When preparing an Application, all prospective Project Participants are responsible for ensuring they are viewing the latest version of the *Guidelines*.

1.8 No legal rights

These research *Guidelines* are non-justiciable. No party submitting, or considering whether to submit, an Application shall have, against MRIWA or the State of Western Australia, any legal or equitable rights under, arising from or by virtue of these research *Guidelines*, or anything done or not done by such party under or in reliance of these *Guidelines* (including the preparation or submission of an Application).



1.9 Application Process



2 METS Innovation Program

2.1 Purpose/Intent

MRIWA recognises the role the Mining Equipment, Technology and Services (METS) sector plays in the mining innovation lifecycle by translating new knowledge created through research into solutions which can benefit Western Australia.

The MRIWA METS Innovation Program is intended to assist Western Australian based METS companies develop products and services which address issues in one or more of the Focus Areas outlined in item 1.3.

The METS Innovation Program consists of a funding scheme and project facilitation to assist in connecting with potential collaborators and sponsors, as well as navigating the innovation ecosystem and other available forms of support.

2.2 Project funding

The METS Innovation Program will provide matched funding up to a maximum of \$250,000 per Approved Project.

2.3 Eligible activities

The Projects must meet the definition of minerals research as outlined in item 5.

2.4 Eligible companies

The following business criteria must be satisfied to be eligible.

2.4.1 Business activity

Your business must be able to demonstrate it provides mining equipment, technology, or services to the mining sector.

2.4.2 Business location

Your main business location must be in Western Australia.

2.4.3 Business structure

Your business will:

- have an Australian business number (ABN).
- be registered for goods and services tax (GST).
- be a company incorporated in Australia or an incorporated trustee applying on behalf of a trust.

2.4.4 Business size

Your business will have an annual turnover of less than \$50m per annum.



3 Accelerated Mineral Carbonation (AMC) Research Program

3.1 Purpose/Intent

The AMC Research Program (the AMC Research Program) aims to accelerate the development and implementation of mineral carbonation, the industrial-scale process to convert CO₂ into a stable form using mining wastes and byproducts.

The AMC Program seeks to catalyse significant advancements in mineral carbonation research, fostering innovation and practical solutions that can make a substantial contribution to technologies and methodologies available to the Western Australian mining and mineral processing sector within a 5-year period to reduce CO₂ emissions.

3.2 Program funding

Inter-institutional and cross-sector collaborations are strongly encouraged.

Funding tiers:

1. Breakthrough Projects (2 available up to \$750,000 each, financial leverage greater than or equal to 4)
 - For large-scale, high-risk, high-reward research initiatives
 - Projects must involve at least one industry partner
 - 3-year duration
2. Innovation Projects (5 available up to \$150,000 each, financial leverage greater than or equal to 4)
 - For targeted research on specific aspects of mineral carbonation
 - Projects must involve at least one industry partner
 - 2-year duration

3. Rapid Response Projects (10 available up to \$25,000 each, financial leverage greater than or equal to 2)
 - For short-term, focused studies addressing urgent challenges
 - Funding support available to industry, First Nations, university and government organisation applicants
 - 6-month duration

3.3 Eligibility criteria

All projects are required to demonstrate they meet the Eligibility Criteria contained in item 5. Eligible Breakthrough and Innovation Projects will be referred for assessment by a Research Assessment Panel (here on in known as RAP). Eligible Rapid Response Projects will be considered through a streamlined assessment process.

MRIWA's *Reporting Guidelines* and *Intellectual Property Policy and Guidance Notes* (IP Policy) and *Guidelines* apply to this funding program.

3.4 Merit criteria

All Applications meeting the Eligibility Criteria will be assessed for overall value and risk against both the Merit Criteria in item 6 and those outlined below:

- Potential for CO₂ emissions sequestration via mineral carbonation
- Alignment to the Roadmap
- Innovation and scientific merit
- Feasibility and scalability
- Western Australian industry relevance and potential time to market for commercialisation
- Collaborative strength and interdisciplinary approach



4 APPLICATION AND ASSESSMENT

4.1 Expression of interest

All Lead Investigators are encouraged to discuss their proposal with a Project Manager prior to commencing the development of an Application.

Such a discussion will canvass eligibility for MRIWA funding and can help enhance the quality of the Application.

The Project Manager may provide the Lead Investigator with a copy of the standard *Conditions of Grant* and any other relevant documents during this consultation process. This information should be circulated to all Participating Organisations for discussion during the proposal development.

4.2 Submitting an application

Applications for funding must be made on the appropriate MRIWA *Research Grant Application Form*. These forms are accessible via the MRIWA website or by contacting MRIWA via phone or email.

While applications are accepted at any time, there are specified application closing dates which can be found on the MRIWA website. If an application is received after these dates they will be referred to the next round. .

4.3 Assessment process

Internal Review

While informal feedback may have been provided to the applicant during the development of the Application, formal review by a Project Manager will only occur once the application has been officially submitted to MRIWA.

The Project Manager will undertake a full review of the application. This will include:

- Administrative review to ensure required fields have been completed
- Quality review to ensure proposal is developed to a stage ready for Assessment Panel consideration
- Assessment against the Eligibility Criteria outlined in item 5 of this document
- If the application is incomplete it will be ineligible.

A Research Application Summary Sheet will be prepared by the Project Manager for the Assessment Panel.



Research Assessment Panel Review

Applications which are accepted as satisfying the Eligibility Criteria will be assessed by an Assessment Panel against the Merit Criteria outlined in item 5 before submission to the MRIWA Board.

An Research Assessment Panel is an advisory committee, convened by MRIWA. Panel members are selected from the MRIWA College, a broad cross-section of research and industry subject-matter experts (SMEs), primarily from the minerals sector and minerals research fields. Information on the MRIWA College is available from the MRIWA Website.

The composition of a Research Assessment Panel will vary from round to round, to ensure it includes an appropriate level of skills and expertise to effectively assess the Applications received. Core Members of the Research Assessment Panel will review each application with additional SME expertise sourced as necessary for individual applications.

The Research Assessment Panel assesses all Applications against Merit Criteria and makes a recommendation to the MRIWA Board on whether the proposal is supported, or if conditions or actions are required by the Lead Investigator prior to a recommendation being provided to the MRIWA Board.

MRIWA may, at any time during the assessment process, vary the activities it undertakes when assessing an Application. These activities may include, but are not limited to:

- inviting the Lead Investigator and Project Participants to present the Application to a Research Assessment Panel meeting;
- seeking further information or clarification from the Lead Investigator on matters pertaining to the Application at the request of the Research Assessment Panel or the MRIWA Board; and
- contacting any relevant Commonwealth, State, Territory and international government agencies, Project Participants, Participating Organisations, or other relevant parties about the Application.

4.4 Confidentiality of information

All members of the MRIWA Board, MRIWA College and Research Assessment Panels and MRIWA staff must comply with the confidentiality provisions of section 70 of the MRIWA Act. This includes confidentiality of any data or information contained in the Application or otherwise provided by the Project Participants and Participating Organisations.

To avoid any actual or perceived conflict of interest in the assessment of Applications, members of the MRIWA Board and Research Assessment Panel must adhere to the MRIWA Code of Conduct and Managing Material Personal Interest Guidelines.

At the time the Application is submitted, the Lead Investigator may submit a request to restrict circulation of the Application from certain individuals or organisations, where there is a demonstrated concern around the protection of IP and commercially sensitive information. All such requests will be reviewed by MRIWA staff, in consultation with the Lead Investigator.

4.4.1 Authorised disclosure

MRIWA may disclose Application information to the Minister, Parliament, and other Government agencies as permitted or required to verify funding sources, prevent duplication of Government funding, and ensure the responsible use of public funds.



4.5 Board decisions

When assessing the Application, the MRIWA Board will consider the recommendations of the Research Assessment Panel but is not required to act on its advice. The MRIWA Board may:

- request further information;
- approve an Application as presented;
- approve an Application with an offer of a reduced contribution by MRIWA;
- conditionally approve an Application, subject to specified conditions, for example but not limited to timeframe within which the *Conditions of Grant* must be executed; increased industry co-investment, changes to the scope of the research, or pending the decisions of another co-funding body;
- decline an Application and invite the applicant to resubmit with amendments as suggested; or
- decline an Application.

As part of the deliberations, the MRIWA Board may consider the level of risk associated with the Project, current and previously funded MRIWA research projects and the distribution of funding across the Programs of the Research Priority Plan.

The MRIWA Board may elect to not fund an otherwise meritorious Application if:

- the aims or outcomes are similar to existing or previous research;
- funding the Application would cause MRIWA to exceed its target annual funding allocation or not meet its financial leverage target; or
- the Project does not align to the targeted focus area(s) determined by the Board.

A list of MRIWA-funded projects is available from the MRIWA website along with information on any current focus areas.

The decision on whether funding will be offered to a Lead Organisation will be made by the MRIWA Board and this decision will be final.

4.6 Research funding

Applications for MRIWA funding for research projects may be made through two main streams:

- MRIWA as the primary funding body and administering organisation, via the *MRIWA Research Funding Application Form*; and
- MRIWA as a funding partner on an application submitted to another research funding body e.g., the Australian Research Council's Linkage Program or Co-operative Research Centres, via the *MRIWA Third-Party Funder Application Form*.

All requests for funding must meet the minimum requirements for assessment prior to consideration by the MRIWA Board.

While research funded by MRIWA does not have to be completed in Western Australia, Applications must demonstrate how the research will address the medium to long-term knowledge and technology needs of Western Australia's minerals industry.

The MRIWA Board may fund an Application:

- to the amount requested; or
- require a reduced contribution by MRIWA; and/or
- require an increased contribution by the Sponsor(s).

The MRIWA Board will only approve funding for an Application where MRIWA holds the full amount of the funds requested from MRIWA in the Application at the time of the MRIWA Board's decision.



4.7 Notification of outcomes

The Lead Investigator and Lead Organisation will be notified in writing of the outcome of the assessment of their Application, including the amount and nature of the funding support by MRIWA and any conditions attached.

An Application will only become an Approved Project once all conditions have been met. The MRIWA Board reserves the right to withdraw support for an Application where conditions are not met within the specified timeframe.

See item 7 for information on Approved Projects.

All Lead Investigators may request feedback from MRIWA on the merit of their full Application at the conclusion of the assessment process if unsuccessful.

4.8 Public announcement of outcomes

Non-confidential Project Information on Approved Projects may be made available on the MRIWA website and published as part of the MRIWA annual report.

MRIWA reserves the right to distribute Non-confidential Project Information on Approved Projects to the Minister's Office, media outlets and via its social media channels (Facebook, Twitter [aka X] and LinkedIn).

The public announcement of outcomes may be delayed where MRIWA is a partner on an Application submitted to another funding body e.g., the Australian Research Council. In this case, the publication of Non-confidential Project Information will occur if and when the project is successful, and the other funding body has made the outcomes public.



5 ELIGIBILITY CRITERIA

Applications must meet all Eligibility Criteria to be considered by the Research Assessment Panel in the first instance before proceeding to the MRIWA Board.

The level of detail provided in an Application should be relative to the scale of the Project and the level of funding requested.

Where an Application will be submitted to another funding body and the request is for MRIWA to be a funding partner on the project, the external funding application form may be submitted to MRIWA with supplementary information provided in MRIWA's Third Party Funder Application Form to ensure assessment can occur against all eligibility assessment criteria.

5.1 Research activity

The activity outlined in the Application must be minerals research

Minerals research, is defined in the *MRIWA Act 2013* as the:

- (a) *investigation undertaken in order to acquire new knowledge relating to; or*
- (b) *systematic work drawing on existing knowledge that is directed to the development of, any process, technique, method, design, or apparatus to locate, extract, transport, or market minerals.*

5.2 MRIWA Research Priority Plan

The activity outlined in the Application must align to the current MRIWA Research Priority Plan

All Applications must align to at least one Program and Theme from the current *Research Priority Plan* and must clearly demonstrate how the proposed research activity will deliver the intended outcome for the relevant Theme as detailed in the *Research Priority Plan*.

Where the proposed research activity will contribute to more than one Program and/or Theme, additional Program and Themes may be nominated, provided this is substantiated in the Application itself.

Where MRIWA has issued a call for Applications in a specific focus area or areas, only Applications addressing one or more of those focus areas will be considered for funding in the nominated round.



5.3 Participating Organisations

All organisations named on the Application must be eligible organisations

Each Application must include, and maintain for the duration of the Project:

- a Lead Organisation; and
- a Sponsor, or Sponsors

Inclusion of organisations from the mining equipment, technology, and services (METS) sector on an Application will be highly regarded.

The Application may include additional Participating Organisations, including:

- Research Organisations; and
- Other Organisations.

These organisations are not required to provide a cash contribution, where they contribute expertise or in-kind contributions to the Project, including provision or analysis of samples, access to data, equipment, exploration and/or mine sites.

To be an eligible organisation, a Participating Organisation must comply with the following mandatory requirements and confirm:

- the organisation is financially sustainable and will contribute (subject to the Application being successful) the staff, funds and other resource indicated in the Application;
- no current directors of the organisation have been convicted of fraud or declared bankrupt;
- they are an organisation which complies with the *Workplace Gender Equality Act 2012* (Cth);
- there are no current, pending or threatened legal or arbitration proceedings (whether as claimant or defendant) or any prosecution, enquiry, or investigation current, pending or threatened by a government or official body involving the organisation; and

- a commitment to enter into agreement with MRIWA and other Participating Organisations, including the requirement to enter into arrangements regarding IP which do not unreasonably prevent or delay the publication of academic outputs, including the final report.

5.4 Financial leverage

The Application must include cash contributions from Sponsors in accordance with the MRIWA Financial Leverage of Research Policy

All applications to MRIWA must include a minimum level of co-investment from Sponsors for the proposed research, as detailed in the *MRIWA Financial Leverage of Research Policy*.

The MRIWA Board calculates the financial leverage as the sum of the combined cash contribution by the Participating Organisations and the MRIWA cash contribution, divided by the MRIWA cash contribution.

MRIWA's current financial leverage target is 4.

For any application, the MRIWA Board may seek higher, or accept lower, financial leverage than the target depending on the particulars of the Application.

Where an Application is to be submitted to another funding body, the amount requested from the funding body will be considered as part of the combined New Cash contribution, for the purpose of calculating the financial leverage.



5.5 Freedom to Operate – IP required for the Project

The Lead Organisation has the rights to access and use all IP necessary for the Project

The Lead Organisation must certify it has freedom to operate in terms of ownership of, access to, or the right to use, any IP rights necessary to carry out the Project.

The Application must identify any Background IP required for the Project, including but not limited to patents, confidential knowhow (i.e., information not already in the public domain) and documents (i.e., copyright protected material) and provide details on ownership and any restrictions on use.

Where permission to utilise the Background IP has not been confirmed at the time the Application is submitted, the MRIWA Board may require confirmation from the Lead Organisation prior to approving the Application, including the requirement to provide evidence they (and any other relevant Participating Organisations) have the necessary rights to any IP required to undertake the Project.

The Lead Organisation must also certify it has reached agreement with its employees involved in the Project on IP matters relating to ownership of, access to, or the right to use Background IP, and the treatment of Project IP, and commercialisation activities.

5.6 Compliance with requirements

The Application must be complete and demonstrate compliance with relevant policies

Applications must include a response to all questions with sufficient information to allow an assessment against the Eligibility Criteria and Merit Criteria and provide assurance to the MRIWA Board of the ability of Participating Organisations to comply with MRIWA's policies, as amended from time to time, including but not limited to:

- *Code of Conduct*
- *Risk Appetite Statement*
- *IP Policy*
- *Data Policy*
- *Managing Conflicts of Interests Guidelines*

All required attachments must be provided at the time the Application is submitted.

All Project Participants are required to carry out the project in accordance with the *Guidelines* and the *Project Reporting Guidelines*, which are available from the MRIWA website.



5.7 Research involving human participants or animals

Ethics approval is required for projects involving research with human participants or animals

Applicants proposing research involving human participants or animals must adhere to the general principles outlined by the National Health and Medical Research Council (NHMRC) in the *National Statement on Ethical Conduct in Human Research (2025)*. Applicants proposing research involving human participants or animals must also secure ethics approval from a Human Research Ethics Committee (HREC) registered with the NHMRC as a condition for receiving MRIWA funds.

Applicants may submit an Application to MRIWA prior to obtaining ethics approval, however funding will only be confirmed once MRIWA is satisfied ethics approval has been secured.

Note: Ethics approval is **not usually required** for research that does not involve humans or animals.



6 MERIT CRITERIA

All Applications meeting the Eligibility Criteria will be assessed for overall value and risk against the Merit Criteria.

Where an Application will be submitted to another funding body and the request is for MRIWA to be a funding partner on the project, the external funding application form may be submitted to MRIWA in conjunction with the MRIWA Third-Party Funder Application form. This form enables the detail in the external funding application to be cross referenced with that required by MRIWA, or supplementary information to be provided, to ensure assessment can occur against all Merit Criteria.

6.1 Advancing Western Australia

The extent to which anticipated outcomes of the research activity will deliver tangible economic, environmental, and social benefit for Western Australia

While the research does not have to be undertaken in Western Australia, Applications must clearly demonstrate the significance of the identified knowledge or technology need and the impact and benefit the proposed research will deliver to Western Australia.

The extent to which the proposed research will deliver benefits for Western Australia will be assessed in terms of, but not limited to, the:

- contribution the anticipated research results will make to the intended outcomes for the relevant Research Priority Plan Theme(s).
- magnitude of the challenge or issue and the consequences of not addressing it;
- value gained by successfully resolving the challenge or issue;
- mainstream or peripheral applicability to industry;
- anticipated timeframe for expected benefits; and
- how the research outcomes can be applied or implemented in Western Australia.

Examples of the types of benefits MRIWA is seeking include:

The economic benefit minerals research can deliver to Western Australia including, but not limited to:	
Productivity and efficiency	Increased industry productivity and global competitiveness through: <ul style="list-style-type: none"> • efficient use of inputs (i.e., labour, supplies); and/or • alternative processes (i.e., automation); and/or • utilisation of broader ore grades
Employment	Increased sustained employment in addition to that generated by conducting the actual research activity
Investment	Increased investment (both domestic and international) in: <ul style="list-style-type: none"> • innovation, research, and development; and/or • exploration and mining projects; and/or • infrastructure (i.e., roads, rail, power) which enables mining with cascading benefits to other industries/communities;
Economic performance	Enables the state to capitalise on its comparative advantage (mineral endowment and skills) to increase revenue from companies and government (royalties and other sources of state revenue) without detracting from other sectors of the economy
Diversification	Increased mineral production via: <ul style="list-style-type: none"> • a broader range of commodities mined; and/or • value adding of existing ores leading to downstream processing; and/or Increased growth in mining equipment, technology, and services (METS) sector



The **social benefit** minerals research can deliver to Western Australia including, but not limited to:

Innovation and human capital	<p>Attracting, building, and retaining skilled workers</p> <p>Developing entrepreneurial mindset sustaining an industry focus on innovation</p> <p>Critical mass of innovation start-ups resulting in clusters of METS companies supporting the sector</p> <p>Understanding potential disrupters and appropriate response strategies</p>
Reputation and brand	<p>Increased profile for the:</p> <ul style="list-style-type: none"> State's mining and METS sector providing access to global conversations on trade and broader industry matters; Industry demonstrating positive models of mining and contribution to wider community
Wellbeing	Increased wellbeing of the Western Australian community and regional development

The **environmental benefit** minerals research can deliver to Western Australia including, but not limited to:

Energy generation and consumption	Improved energy efficiency and lower energy costs through improved operating practices and/or adoption of alternative technologies.
Land quality	<p>Improved land use and management with reduced</p> <ul style="list-style-type: none"> effects on environment during and post mining; legacy issues and liabilities on the State
Waste	Increased re-use and recycling of by-products and waste generated by mining and mineral processing

Application questions addressing this criterion

- What challenge or issue facing the minerals sector in Western Australia will the project address?
- What is the proposed solution to the challenge or issue?
- What anticipated outcomes will occur as a result of the proposed solution?
- What impact and benefit for Western Australia will the anticipated outcomes deliver?
- What is the current Technology Readiness Level of the proposed solution to be developed?
- What is the anticipated Technology Readiness Level of the proposed solution at the completion of this Project?

6.2 Project design and methodology

The technical and scientific strength and clarity of the Application, and the likelihood the research activity will lead to the anticipated outcomes

Applications must describe the proposed activities in sufficient detail to demonstrate the quality and feasibility of the design and mitigation of risks, in order to successfully deliver the Project.

The strength and clarity of the proposed Project purpose, description and design will be assessed in terms of, but not limited to the:

- rationale for the Project and need for further research in the area;
- evidence the proposed activity is novel or innovative;
- appropriateness and robustness of the technical design or methodology including identification of key tasks/proposed activities and timeline;
- inclusion of objectively verifiable milestones which reflect achievement of significant stages in the research;
- clarity in the intended deliverables to be produced as a result of the research activity; and
- identification and management of potential risks identified in accordance with the [MRIWA Risk Appetite Statement](#).

Milestones are used by MRIWA to monitor the progress of a Project and will be included in the *Conditions of Grant*.

Milestones must be SMART (Specific, Measurable, Attainable, Relevant and Time Bound).



Application questions addressing this criterion:

- Describe the approach or method you will use. Explain how the steps you plan to take will lead to the anticipated research outcomes (the results, findings, or impacts you expect).
- Explain what makes your proposed research activity novel or innovative. What new knowledge will it create, and how does your approach differ from previous studies?
- Provide a Gantt chart indicating the proposed milestones, tasks, deliverables, and stage gates (if applicable), and an indicative timeline for the proposed activities.
- Identify all major risks to the project and strategies or controls to be implemented to mitigate their impact.

6.3 Research team and Sponsors

The ability of the named personnel and organisations to undertake the proposed activities and achieve the Application's anticipated outcomes

Applications must clearly demonstrate the capacity and capabilities of the Participating Organisations and Project Participants to undertake the proposed Project.

The strength and appropriateness of the proposed research team will be assessed against criteria including, but not limited to, the:

- skills and experience, relative to opportunity, of the named personnel to undertake the proposed activity;
- incorporation of multidisciplinary skills to encourage diversity of thought, collaboration, and synthesis of information;
- appropriate inclusion of early-career researchers and women as Key Personnel and appropriate involvement of research students in the Application.

The capacity and commitment of the Sponsors to the project will be assessed against criteria including, but not limited to their:

- relative financial contribution;
- level of engagement in the proposed activities; and
- demonstrated desire to address the challenge or issues.

Application questions addressing this criterion:

- Provide details for all Participating Organisations, including a *Letter of Support* confirming their commitment to the Project.
- List all members of the research team and project support staff.
- Provide a *Statement of Expertise* for the Lead Investigator and each Key Personnel.



6.4 Knowledge transfer

The proposed plan for the dissemination and utilisation of the anticipated research deliverables by industry and/or relevant stakeholders

The MRIWA *Intellectual Property Policy and Guidance Notes* (December 2023) (the *IP Policy*) provides guidance on the expected approach to knowledge transfer of key Project outputs and deliverables.

Identifying a knowledge transfer pathway early in the Application process can add value to the project by identifying industry connections or other enabling stakeholder contributions, which help the project deliver better outcomes, and potential IP Assets. The benefits are:

- Researchers identify and understand the potential IP that may be created and plan to manage and use it.
- The Lead Organisations can have expectations appropriately managed and understand the path to execution of agreements.
- The MRIWA Board can make informed decisions based on a better understanding of the potential project value. This may also reduce the time to execute the *Conditions of Grant*.

The key outputs and deliverables for individual projects will vary and should be clearly identified in the Application and the project Gantt chart.

To support applicants in clearly identifying the products of the research and how knowledge will be communicated and adopted, the following definitions apply throughout these Guidelines:

Deliverables provide evidence of project progress and are specific, tangible items produced during the Project and required under the *Conditions of Grant*. Deliverables demonstrate progress and are used to verify milestone achievement.

Examples include: environmental scans, technical reports, datasets, prototypes, workshops, and other contractual items produced as part of the research activities.

Outputs are products of the research project and are the means through which research findings are communicated or disseminated. Outputs are generally created towards the end of the Project, contribute to knowledge transfer, and may be publicly released subject to confidentiality and IP requirements.

Examples include peer-reviewed publications, conference papers, policy briefs, patents, software, models, Guidelines, and open-access datasets.

Outcomes show the impact of a research project and represent the longer-term changes, benefits or impacts that occur after the Project as a result of the research. Outcomes may relate to industry practice, policy, technology adoption, environmental improvements, or other areas of benefit to Western Australia.

Examples include improved operational efficiency, reduced emissions, enhanced safety, new or expanded industry capabilities, or adoption of new technologies or processes.

These definitions should be used when identifying the key deliverables and outputs of the Project, and when describing the anticipated outcomes and pathway to adoption.



Key outputs and deliverables may include, but are not limited to:

Know-how (Intelligence/ Insights provided in)	Information, communication, and technology	Hardware
Documents Formulas Recipes Flowsheets Processes and workflows Data Self-assessment tools Guidelines	Algorithms Models Code Software	Equipment Preliminary designs Proof of concepts designs Prototypes Alpha/Beta products Drawings

Applications must demonstrate a clear plan for disseminating the key outputs and deliverables and communicating research results to relevant stakeholders, including both the research community and industry. This plan must identify opportunities to encourage the utilisation and/or commercial application of the research results.

The extent to which the proposed plan will lead to the dissemination, potential adoption and scale of impact of the research outcomes will be assessed in terms of, but not limited to, the:

- appropriateness of the key output and deliverables given the research being undertaken;
- identification of relevant stakeholders and end-users;
- plan to disseminate results, including beyond the Participating Organisations (to the extent commercial sensitivities allow);
- proposed application of the research results in an operating environment and documented 'pathway to adoption', including identification of any steps required within this pathway that extend beyond the scope of the project;
- likelihood the research will be adopted and the potential magnitude of commercial benefit; and
- potential commercialisation pathways for research outputs, including an outline of the potential market and revenue opportunities.

Application questions addressing this criterion:

- What are the key deliverables and outputs which will result from the project?
- What steps will you take to ensure adoption, dissemination and/or commercial application of the research results?
- Will any of the project outputs or deliverables be licensed, sold, or otherwise disseminated or commercialised (subject to necessary consents being obtained)? If yes, outline the expected pathway to and an indicative timeframe for adoption, dissemination and/or commercialisation of the research results.
- What barriers or issues may limit or prevent the adoption of research results and how will these be addressed?
- Briefly outline any current or planned projects or commercialisation activities relevant to, but not part of, this project and the proposed research deliverables.
- What data will be generated or created from the proposed activities and how will this be managed during and following the project's completion?
- How will the research progress and results be communicated to relevant stakeholders?



6.5 Budget

The appropriateness of the budget to undertake the proposed research activity and provide value for Western Australia

Applications must demonstrate the Project is financially viable and represents value for money.

The MRIWA Board requires a minimum New Cash contribution from Participating Organisations relative to the MRIWA contribution and may give preference to Applications demonstrating higher financial leverage, in accordance with the *MRIWA Financial Leverage of Research Policy* and item 5.4.

The appropriateness of the budget will be assessed in terms of, but not limited to, the:

- total project value and the amount requested from MRIWA;
- inclusion of reasonable costs, commensurate with the proposed research activity. Refer to item 8.1 Eligible Expenditure for details on allowable expenses; and
- economic and/or social value of the anticipated outcomes relative to the total cost of the Project.

Application questions addressing this criterion:

- Provide details of New Cash contributions by each of the Sponsors.
- For each payable milestone, provide an indicative costing for all associated project activity relating to the successful delivery of the milestone.
- Briefly describe the in-kind contribution of the Project Participants and Participating Organisations.



7 APPROVED PROJECTS

7.1 Conditions of Grant

Following approval of an Application by the MRIWA Board a funding agreement must be executed between the respective Parties before any payment of funds.

Where MRIWA is the primary funding body, in most cases the MRIWA *Conditions of Grant* will be negotiated and executed between MRIWA and all Participating Organisations providing a cash contribution to be managed by MRIWA.

An exception to this approach is where a Sponsor intends making a contribution directly to the Recipient or there is another formal agreement in place between the Recipient and the Sponsor.

Where an Application is made to MRIWA and funding is to be provided by Sponsors direct to the Applicant, MRIWA may enter into an Agreement solely with the Applicant. However, evidence of the agreement with the Sponsors will be required.

A pro forma of the *Conditions of Grant* is available from MRIWA on request. Lead Investigators are encouraged to provide a copy of the *Conditions of Grant* to all Participating Organisations during the development of the Application. Advance familiarisation with the form of the contract can expedite the negotiation if the Application is approved by the MRIWA Board.

The *Conditions of Grant* must be executed by all Participating Organisations and MRIWA within four (4) months from the date of the MRIWA Board's approval of the Application. Where the *Conditions of Grant* has not been executed within the required period, MRIWA reserves the right to withdraw funding for the Project.

Where MRIWA is a funding partner on an application awarded by another funding body, MRIWA will enter into an agreement with the relevant parties, as required by the funding body, subject to review of the terms and conditions of that agreement.

7.2 Intellectual Property

MRIWA has an *IP Policy* that sets out its approach to the ownership, management and commercialisation of IP arising from projects it supports. The IP Policy is contained in the *Intellectual Property Policy and Guidance Notes*.

The Lead Organisation must certify it has read and accepts the IP Policy as part of the Application process.

Arrangements for managing IP associated with a Project should be negotiated between all Participating Organisations and discussed with MRIWA during Application development, consistent with the IP Policy and Guidance Notes. These arrangements will be finalised and documented in the *Conditions of Grant* (or equivalent funding Agreement).

Background Intellectual Property

The *Conditions of Grant* includes requirements for identifying Background Intellectual Property (Background IP) made available by a Party for the Project.

Background IP must be listed in the relevant Schedule to the *Conditions of Grant* or otherwise notified by a Party to the other Parties before, or at the time, it is made available. This notification must identify:

- the Background IP and its ownership;
- the providing Party's right to make it available; and
- any restrictions on its use.

If the Recipient or a Sponsor does not notify MRIWA of Background IP, it will be taken to be Project IP, unless MRIWA agrees otherwise in writing.

Under the *Conditions of Grant*:

- each Party licenses its Background IP to the others on a non-exclusive, royalty-free basis as necessary to carry out the Project; and



- each Party grants the others a non-exclusive, royalty-free, perpetual and irrevocable licence to use its Background IP during and after the Project, to the extent required to enable dissemination, adoption or commercialisation of the Project IP.

Project Intellectual Property

For Projects where MRIWA is the primary funding body, the pro-forma *Conditions of Grant* provides seven options for ownership arrangements relating to Project Intellectual Property (Project IP):

- Version A – Ownership by Recipient
- Version B – Ownership by Sponsor
- Version C – Joint Ownership (all Parties)
- Version D – Joint Ownership (MRIWA and Recipient)
- Version E – Joint Ownership (MRIWA and one or more Sponsors)
- Version F – Ownership by Intellectual Property type
- Version G – Ownership by MRIWA

The Lead Organisation, Sponsors and MRIWA must agree on the applicable IP ownership arrangement before the *Conditions of Grant* is finalised.

Project IP anticipated to arise from the Project, together with potential uses of that IP, will be identified in the *Conditions of Grant*.

As MRIWA has a responsibility to ensure the Projects it supports deliver benefit to Western Australia, the owner or owners of Project IP will be expected to pursue **adoption, dissemination and/or commercialisation outcomes** that maximise this benefit.

To maintain appropriate visibility of Project IP, any Party that owns Project IP must not sell, assign, transfer or otherwise dispose of its ownership interest without notifying MRIWA in writing prior to disposal.

Commercialisation Revenue Contribution

MRIWA may seek a financial contribution where Project Intellectual Property developed through MRIWA funding is commercialised and generates revenue. The existence of this provision does **not** imply that a Commercial Revenue Contribution (ComRev) will apply to all Projects.

However, MRIWA and the Applicant should discuss potential ComRev as early in the Application development process as possible the aim being to reach in principle agreement prior to the Application being submitted.

Where applicable, MRIWA may, on a **case-by-case basis**, negotiate a ComRev with the Lead Organisation as part of the *Conditions of Grant*. Any ComRev will be determined in accordance with MRIWA's IP Policy and documented in the *Conditions of Grant*.

Any ComRev:

- is approved by the Board.
- is in accordance with the *Conditions of Grant*;
- is contingent on the generation of commercial revenue from Project IP;
- is negotiated between MRIWA and the Lead Organisation; and
- is documented in the *Conditions of Grant*, including any associated reporting requirements.

A ComRev is **not intended to operate as a loan or credit arrangement** and is payable only where commercial revenue is received by the commercialising party. The potential for, or inclusion of, a ComRev does **not** influence eligibility or funding decisions.



7.3 Payment of funds

The *Conditions of Grant* (or equivalent funding agreement) will provide details of the:

- a) MRIWA cash contribution to the Project;
- b) schedule of required cash contributions payable by the Participating Organisations, where applicable;
- c) total funds to be administered by MRIWA;
- d) maximum amount of funding to be paid by MRIWA to the Recipient(s); and
- e) funds not administered by MRIWA, to be paid directly by a Sponsor to the Lead Organisation for the purposes of the Project.

MRIWA will only release funding after the *Conditions of Grant* (or equivalent funding agreement) has been signed by all Participating Organisations and MRIWA.

Funding will only be provided for work carried out after the MRIWA Board's approval of the Application subject to execution of the *Conditions of Grant*.

Where MRIWA is to receive Project funds from Participating Organisations, MRIWA will invoice as per the schedule set out in the *Conditions of Grant*. These funds will then be disbursed to the relevant Recipient according to the schedule in the *Conditions of Grant*.

During the course of an Approved Project, payment against research claims requests will only be made when:

1. MRIWA holds sufficient funds against the project; and
2. demonstration of milestone delivery has been confirmed. Refer to item 7.4 for further detail.

All funds, including any funds paid by the Sponsor directly to the Lead Organisation, must be appropriately acquitted.

MRIWA will pay on a milestone-based schedule, as negotiated with Participating Organisations, and specified in the *Conditions of Grant* (or equivalent funding agreement). Lead Organisations are to provide details of how they will demonstrate and verify achievement of milestones.

Payments are made in arrears on demonstration of the satisfactory achievement of milestones and following receipt by MRIWA of a financial statement detailing incurred expenditure.

Following milestone verification and approval of the reporting period's financial statement by MRIWA, a claims requests/purchase order will be sent by MRIWA to the relevant contact at the Participating Organisation specified in the *Conditions of Grant*.

The Recipient can then invoice MRIWA as per the financial statement approved. Payments are made in arrears, based on actual expenditure, and will not exceed the agreed cumulative value in the research payment schedule outlined in the *Conditions of Grant*.

Where a final technical report is included as the final milestone, the final payment will not be made until the final report has been approved by MRIWA. The amount withheld will be specified in the *Conditions of Grant* but will usually represent 15% of the MRIWA Commitment to the total funds.

7.4 Addition of new participating organisations

MRIWA supports in-principle inclusion of new parties to previously approved Projects subject to the terms and conditions in the *Conditions of Grant*.

Any change will be included in a variation to the original *Conditions of Grant*, subject to approval of other Participating Organisations where required and the terms of the *Conditions of Grant*.



7.5 Project commencement activities

All Approved Projects are required to undertake reporting activities at the commencement of the Project to be provided to MRIWA.

These requirements are outlined in MRIWA's *Project Reporting Guidelines* and should be considered when preparing an Application and developing a timeline for the proposed activities. Reporting activities include:

Project summary

All Projects are required to prepare a summary of the proposed project, identifying the challenge, the proposed solution and intended benefits.

The summary should be written for a non-scientific audience.

This information will be made available on the MRIWA website and assigned a Funding Digital Object Identifier (DOI) may be used in the *MRIWA Annual Report*.

Environmental scan

Unless otherwise advised by MRIWA, projects are required to undertake a comprehensive environmental scan on the proposed Project area, identifying relevant peer-reviewed literature and current industry practice.

The environmental scan may be released publicly as part of the project to assist with the Knowledge Transfer of the challenge or issue being addressed.

7.6 Routine reporting

All Approved Projects are required to undertake reporting activities related to the technical progress and administration of the Project. Routine reporting requirements will be scheduled in the *Conditions of Grant* and are contained in *MRIWA's Project Reporting Guidelines*. These should be considered when preparing an Application and developing a timeline for the proposed activities. Reporting activities include:

Progress reports

A summary report delivered at specified intervals demonstrating the Project's progress against the scope, schedule, budget, and achievement of milestones must be provided by the Lead Organisation to MRIWA and all Project Participants.

Financial statements

A statement detailing the incurred expenditure against the total budget and in relation to the completed milestones, funds paid by Sponsors directly to the Recipient and the amount to be claimed from MRIWA.

Financial statements should be submitted using the appropriate MRIWA template available from [MRIWA's website](#).

Sponsor's review meetings

Regular meetings, commencing with a kick-off meeting at the beginning of the Project, will be held with representatives from the Recipient, Sponsors, MRIWA, and Project Participants.

Project IP and the IP Register

During the Project, the Recipient is required to communicate details of the Project IP that has been developed to MRIWA and to the Sponsors. The Recipient is also required to keep and maintain an IP Register in the form attached to the *Conditions of Grant* and must provide a copy to MRIWA and the Sponsors at least every 6 months and when the *Conditions of Grant* comes to an end.



7.7 End of project reporting

At the conclusion of a Project funded in part or entirety by MRIWA, the Lead Organisation must meet all reporting requirements, as specified in the *Conditions of Grant* (or equivalent funding agreement).

These requirements are outlined in *MRIWA's Project Reporting Guidelines* and include:

Final financial statement

A statement detailing the actual expenditure of Funds during the entire Project, and receipt of any direct amounts, if relevant.

The final financial statement should be submitted using the appropriate MRIWA template.

Project evaluation form

At the conclusion of the research activity, a brief evaluation form is provided to MRIWA summarising the Project's achievements, outputs, and outcomes.

The form may be used by MRIWA to evaluate the research funding program. See item 7.10 for further information. The Project evaluation form should be submitted using the appropriate MRIWA template available on the MRIWA website.

Output release plan

A plan describing the information which will be communicated in relation to the outputs and deliverables and the channels through which the information will be distributed if this has not already occurred throughout the project.

An initial version of this plan will be prepared by MRIWA and provided to the Lead Investigator to complete and confirm the information provided.

Unless otherwise agreed, this information will be made available on the MRIWA website and may be used in the MRIWA annual report.

Subject to agreement on the key outputs, required deliverables and milestones, end of project reporting may also include:

Final technical report

A **Final Technical Report** is a detailed, stand-alone publication intended for publication for public release.

Approval and Confidentiality

The report must be approved by all Participating Organisations and MRIWA before finalisation. Each Sponsor is responsible for confirming the report contains **no Confidential Information**.

Timing of Publication

Publication may be deferred for up to **12 months** after project completion if a Sponsor or Lead Organisation provides a valid reason. MRIWA will make the final decision on timing.

Acceptability and Quality

MRIWA will review the *Final Technical Report* and determine whether it meets the required quality standard, including clarity, completeness, accuracy, and compliance with MRIWA reporting requirements. If the report requires improvement, MRIWA may arrange for an editor to revise it, with the cost of this editing paid from the project's approved funds.

Alternative Reporting Options

Other final reporting formats may be considered if they can demonstrate greater utilisation of research outcomes.

All outputs, must acknowledge MRIWA's support by including the MRIWA Funding Digital Object Identifier (DOI) in accordance with *MRIWA's Project Reporting Guidelines* and *Conditions of Grant*.



7.8 Publicity and promotion

Non-confidential Project Information from the periodic progress reports may be made available on the MRIWA website and published as part of the MRIWA annual report to provide a statement on the progress of the Project.

MRIWA reserves the right to distribute Non-confidential Project Information on in-progress and completed Projects to the Minister's Office, media outlets and via its social media channels (Facebook, Twitter [aka X], and LinkedIn).

7.9 Evaluation

MRIWA is required to evaluate the overall effectiveness of the research funding program to determine the extent to which the program activities deliver on the objectives of MRIWA and the Government.

MRIWA may use information from the Application, progress reports, and final technical and project evaluation reports for this purpose. In addition, MRIWA may contact Project Participants and Participating Organisations during the Project, and following completion of the Project, to assist with this evaluation.

A follow-up evaluation report is sought from the Lead Organisation 12 months, or as otherwise agreed with MRIWA, following the submission of the final technical report or other agreed output.

This evaluation report will be an opportunity for the Lead Organisation to update MRIWA on the impact of the research, dissemination activities and any commercial application of the research outcomes occurring following the Project's completion.

In addition, unless otherwise agreed with MRIWA, parties (other than MRIWA) who are involved in the adoption, dissemination and/or commercialisation of Project IP will be required to provide an annual report to MRIWA describing the activities it has undertaken involving the Project IP, and how those activities achieve the aims of bringing benefit to the Western Australian minerals industry.

This report will also allow MRIWA to assess the impact of the research and provide visibility to MRIWA on any dissemination and commercial application of research outcomes.



8 FURTHER INFORMATION

8.1 Eligible expenditure

The Recipient is bound by the following rules and eligibility requirements.

MRIWA funding may only be used for Eligible Expenditure that is reasonable, directly attributable to the Project, and represents value for money. All expenditure must be incurred in accordance with the approved Project scope, budget and the *Conditions of Grant*.

Salary and wage costs

MRIWA may fund salaries and related on-costs (on-costs capped at 30%) for the proportion of time directly and demonstrably spent on project-specific research activities.

Eligible roles include:

- Research and technical personnel (e.g., postdoctoral researchers, assistants, technicians, plant operators)
- HDR stipends (i.e. legitimate payments to PhD students) where the student is formally appointed and integral to the project
- Teaching relief for tenured academic staff engaged in the project

Ineligible costs:

- Salary top-ups
- Executive/Management position salaries
- Staff whose base salary is funded for Business-As-Usual (BAU) activities

All claims must be supported by auditable evidence, including employment contracts/arrangements and time/FTE records (e.g., timesheets or equivalent), scaled proportionately to funding value, risk and complexity.

Applicants must declare costs are not funded, and will not be funded, from any other source (no duplication/double-dipping). Cost associated with third-party contributors must be budgeted as purchased services rather than personnel.

The Recipient must keep proper detailed written records of its expenditure (or commitment) of any and all funds received from MRIWA for the project, and furthermore, the Recipient must promptly share with and disclose to MRIWA, and provide MRIWA with access to all information, data, records and other documentation which is or may be relevant to any of the above rules and eligibility requirements, including whether they have been, are being, or will be complied with by the Recipient.

The onus of proving (to MRIWA's total satisfaction) the Recipient's compliance with the above rules and eligibility requirements rests solely with the Recipient.

Consumables and analytical work

Consumables and analytical work reasonably required for Project delivery are eligible and must be clearly itemised, including any applicable tax credits.

Travel

Travel essential to Project activities is eligible.

Applicants should assume that overseas or interstate conference travel is not eligible unless MRIWA expressly approves the travel in advance, following assessment of a clear, project-specific justification.

Equipment

Equipment may be purchased where the need is clearly demonstrated. Requests over \$10,000 (ex GST) must include quotations.

Equipment purchased through MRIWA funding becomes an asset of the Lead Organisation.

Maintenance and refurbishment

Maintenance or refurbishment costs essential to the Project are eligible. Requests over \$10,000 (ex GST) must include quotations.



Other directly attributable costs

Other directly attributable costs may be included, including facility charges (inclusive of facility overhead charges), capped at 10% of the total cash cost of the Project.

Indirect or business-as-usual costs are not eligible.

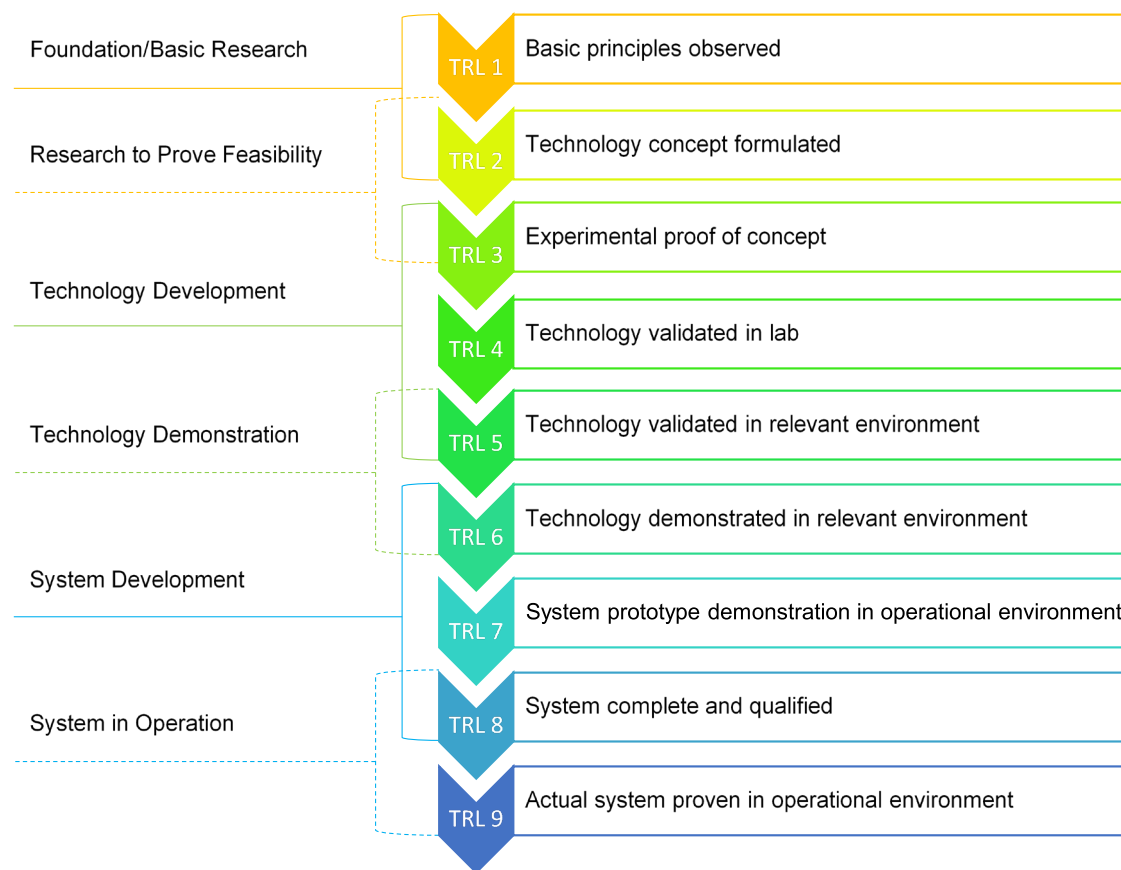
Where a *Final Technical Report* is an approved Project deliverable, reasonable costs directly attributable to drafting, technical editing and finalising that report may be included as eligible expenditure. This does not include general administrative, communications or promotional activities.



8.2 Technology Readiness Level (TRL)

The Technology Readiness Level (TRL) describes the maturity of a given technology. This scale is used to identify where on the innovation value chain a project lies, and what the level of investment risk is for MRIWA.

As the nature of research is unpredictable, there is no requirement to reach a specific TRL by the end of the Project. However, all applications should demonstrate a plan to progress beyond the starting TRL over the course of the Project.



8.3 In-kind contributions

While MRIWA appreciates the value of in-kind contributions to the Project, the financial value of in-kind contributions is not considered when assessing an Application or determining the financial leverage rate for the Application.

8.4 Letter of Support

The Sponsor's *Letter of Support* must be provided on the Sponsor's formal letterhead, be signed by the person with authority to commit the funds to the Project.

The *Letter of Support* template is available for download from the MRIWA website.

A Letter of Support is not required for the Recipient's contribution to the Project.



9 GLOSSARY

Application means the application submitted to MRIWA to request financial assistance in order to conduct the Project.

Approved Project means a Project which has been approved by the MRIWA Board for funding.

Associate Investigator means a person who provides an important contribution to the project and would warrant inclusion in research publications etc but does not meet the definition of Key Personnel.

Background Intellectual Property or **Background IP** means Intellectual Property owned by a Party, or which a Party is licensed to use, prior to the commencement of the Project or otherwise independently of the Project, that is identified in the *Conditions of Grant* or otherwise made available by a Party for the conduct of the Project in accordance with the *Conditions of Grant*. (The Application must identify Background IP required for the Project which is known at the time the Application is submitted, and this will be reflected in the *Conditions of Grant* for Approved Projects).

Conditional Grant means a form of MRIWA financial assistance where funds are provided subject to specified terms, conditions, and performance requirements. Funding is not guaranteed and is only released when the Recipient meets all conditions outlined in the *Conditions of Grant*. Failure to meet these requirements may result in funds being withheld, varied, recovered, or the agreement being terminated.

Conditions of Grant means the Agreement entered into by MRIWA and some or all of the Participating Organisations when an Application is approved for funding.

Confidential Information means all information of a Party (Discloser) that is disclosed or available to another Party (Recipient) for the purposes of or in connection with the *Conditions of Grant* or the Project that:

- a) is marked or identified at the time of disclosure as being confidential;
- b) is by its nature confidential; or

- c) the Recipient knows or ought reasonably to know is confidential, but excludes any information that:
- d) the Recipient can prove was known to the Recipient prior to receipt from the Discloser, and which is not subject to an existing obligation of confidence;
- e) is in or enters the public domain otherwise than as the result of a breach by the Recipient of the *Conditions of Grant* or other obligation of confidence; or
- f) is lawfully obtained by the Recipient from a person who is not under an obligation of confidence to the Discloser in respect of that information.

Eligibility Criteria means the criteria an Application must meet in order to be considered for funding by the Assessment Panel and the MRIWA Board.

Intellectual Property or **IP** includes all copyright and neighbouring rights, registered and unregistered trademarks, registered designs, all rights in relation to inventions (including patents), plant varieties, Confidential Information (including trade secrets and know-how), circuit layouts, and all other rights resulting from intellectual activity.

IP Register means a register in the form attached to the *Conditions of Grant*, which, for a given Project, will contain information relating to Background IP used in the Project and Project IP created or developed during the Project.

Key Personnel means a person integrally involved in carrying out the Project and providing a significant contribution throughout the Project. Key Personnel are named in the *Conditions of Grant* and are not able to be replaced without the prior written approval of MRIWA.

Lead Investigator means the Project supervisor and officer representing a Lead Organisation and directly responsible for the conduct of the Project.

Lead Organisation means the organisation responsible for submitting the Application and who will take responsibility for the research component of the Project. (The Lead Organisation will typically become the Recipient under the *Conditions of Grant* for an Approved Project).



Letter of Support means a formal document provided by the Sponsor as part of the Application with a written confirmation of the Sponsor's cash investment to the Project.

Merit Criteria means the qualitative criteria against which an Application will be assessed.

Minister means the member of the Government of Western Australia responsible for MRIWA.

MRIWA means the Minerals Research Institute of Western Australia established under the MRIWA Act.

MRIWA Act means the *Minerals Research Institute of Western Australia Act 2013 (WA)*.

MRIWA Board means the board provided for in section 26(1) of the Act.

MRIWA College means the persons appointed by the MRIWA Board to provide a pool of expertise on which MRIWA may call to assist with the assessment of Applications.

MRIWA Commitment means the portion of funds approved by the MRIWA Board to be contributed by MRIWA to a Project.

New Cash means cash funding contributed by Sponsors and/or the Recipient that is directly attributable to the Project and does not include in-kind contributions or business-as-usual funding.

Non-confidential Project Information means the Project title, Participating Organisations, and total funding amount. It may also include summary information provided in the Application or periodic progress report which has been clearly identified as information to be made available to the public.

Other Organisation means an organisation named on the Application which is not the Lead Organisation, Research Organisation, or a Sponsor.

Participating Organisation means an organisation named on the Application and may include the Lead Organisation, Sponsor, Research Organisation or Other Organisation.

Parties means all parties to the *Conditions of Grant* and Party means any one of them.

Program means the broad research areas identified in the Research Priority Plan.

Project means the research project named and outlined in an Application (and named and outlined in the *Conditions of Grant*, where the Project is an Approved Project).

Project Funds means the combined MRIWA Commitment and Sponsor Commitments, including Direct Amounts to be paid in part or entirety over the Project to the Recipient(s).

Project Intellectual Property or **Project IP** means all Intellectual Property created during the course of and as a result of carrying out the Project. For the avoidance of doubt, and subject to the *Conditions of Grant*, Project Intellectual Property does not include Background Intellectual Property.

Project Participant means a person named in the Application who will provide an important intellectual or practical contribution to the Project.

Recipient means a Participating Organisation who will receive the financial assistance (typically this will be the Lead Organisation).

Research Assessment Panel means the members of the MRIWA College selected to participate in the assessment of an Application.

Research Organisation means an organisation named on the Application, which is not the Lead Organisation, who will be conducting research.

Research Priority Plan means the research priority plan as prescribed under the MRIWA Act.



Sponsor means a Participating Organisation who will provide a cash contribution to the Project.

Technology Readiness Level or **TRL** means the level of maturity of a given technology and is used to identify where on the innovation value chain a project lies.

Theme means the narrow research areas identified in the Research Priority Plan which include an associated intended outcome.

